

## DRAFT Internal Audit Plan 2014/15

	Risk Score*	Audit Days 2014/15	(2013/14 Audit Days)
<b>Corporate Governance Arrangements</b>		75	(40)
CRSA and S151 responsibilities	MAN		
Risk Management	MAN		
AGS - Internal Audit Opinion	MAN		
Information Governance	MAN		
Organisational Ethics	MAN		
<b>Key Financial and Non Financial Systems</b>		200	(200)
SAP Application controls - policy, roles and access	99		
Accounts Payable	99		
Capital Expenditure Monitoring	97		
Payroll	97		
Accounts Receivable	96		
Revenue Budget Control	94		
Treasury Management	94		
General Ledger	93		
Financial Assessments and Benefits	90		
Pension Administration	85		
Pension Fund Investments	77		
		30	(20)
<b>Grants</b>			
Government Grants	MAN		
EU Grants	MAN		

\*MAN = Mandatory

## Internal Audit

## Surrey County Council

## DRAFT Internal Audit Plan 2014/15

	Risk Score*	Audit Days 2014/15	(2013/14 Audit Days)
<b>Contract Reviews</b>		135	(120)
Agency Staff Contract	82		
Contract Management Framework - Procurement Savings	79		
Highway Contract – Safety Defects and Inspections	79		
Superfast Broadband	77		
Bus Operating Contracts	75		
Walton Bridge Final Account	74		
<b>Adult Social Care</b>		135	(135)
LA Trading Company - ASC	89		
Deputyship	86		
Better Care Fund	85		
AIS Care assessment process	82		
Telecare	79		
ASC Commissioning Procurement Portal	77		
Care Bill Preparedness	74		



## DRAFT Internal Audit Plan 2014/15

	Risk Score*	Audit Days 2014/15	(2013/14 Audit Days)
<b>Business Services</b>		<b>230</b>	(298)
Carbon Reduction Scheme & GHG	MAN		
Public Service Network	80		
Management of CITRIX systems	79		
UNICORN	79		
Apprenticeship Scheme	79		
Absence Management	77		
Property Investment Strategy	76		
Property Asset Management (PAMS)	76		
Managed Print Service	76		
LA Trading Company - Governance arrangements	76		
Grants to Voluntary Bodies	75		
<b>Customers and Communities</b>		55	(75)
SFRS Operational Assurance Process	78		
Community Learning	76		
Domestic Abuse	75		



## Internal Audit

## Surrey County Council

## DRAFT Internal Audit Plan 2014/15

Page 18

**Chief Executive's Office**

Health and Wellbeing Board	80		
Business Continuity Planning	78		
VCFS Framework	78		
Public Health	77		
Emergency Management	76		
Member Interests	75		

115 (75)

**Children's Schools and Families**

Schools compliance	88		
School Planning/Admissions	82		
Children's Safeguarding QA process	78		
Corporate Parenting Board	78		
Foster Care	78		
Local Safeguarding Boards	75		
Looked After Children - Personal Monies	75		
Direct Payments (Children's)	75		

230 (230)

\*MAN = Mandatory

## Internal Audit

## Surrey County Council

## DRAFT Internal Audit Plan 2014/15

	Risk Score*	Audit Days 2014/15	(2013/14 Audit Days)
<b>Environment and Infrastructure</b>		100	(130)
Operation Horizon	80		
Transportation Co-ordination Centre - new system	79		
Streetworks Permit Scheme	79		
Waste Management and Minimisation	79		
Local Sustainable Transport Fund	77		
<b>Follow-up Audits including:</b>		60	(50)
Social Care Debt			
Looked After Children – Health & Dental Checks			
Special Schools – In-house Residential			
Transport for Education			



## Internal Audit

## Surrey County Council

## DRAFT Internal Audit Plan 2014/15

	Risk Score*	Audit Days 2014/15	(2013/14 Audit Days)
<b>Client Support and Service Liaison</b>		156	(136)
<b>Innovation Support/Follow-up</b>		30	(50)
<b>Irregularity and Special Investigations including Fraud Prevention</b>		335	(345)
NFI - Support to Other LAs			
Irregularity Contingency			
Anti Fraud and Data Interrogation			
NFI Data Matching Exercise			
<b>Internal Management, Corporate Support and Organisational Learning</b>		294	(294)
Audit & Governance Support			
Member support			
Audit Planning			
Audit Management			
Corporate Support Activities			
<b>Total Audit Days</b>		<u>2180</u>	(2228)