DRAFT Internal Audit Plan 2014/15

Surrey County Council

	Risk Score*	Audit Days 2014/15	(2013/14 Audit Days)
Corporate Governance Arrangements		75	(40)
CRSA and S151 responsibilities	MAN		
Risk Management	MAN		
AGS - Internal Audit Opinion	MAN		
Information Governance	MAN		
Organisational Ethics	MAN		
Key Financial and Non Financial Systems		200	(200)
SAP Application controls - policy, roles and access	99		
Accounts Payable	99		
Capital Expenditure Monitoring	97		
Payroll	97		
Accounts Receivable	96		
Revenue Budget Control	94		
Treasury Management	94		
General Ledger	93		
Financial Assessments and Benefits	90		
Pension Administration	85		
Pension Fund Investments	77		
Grants		30	(20)
Government Grants	MAN		
EU Grants	MAN		

Surrey County Council

(2013/14

Audit

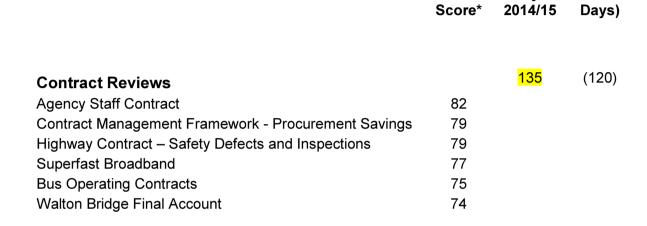
Audit

Days

Risk

DRAFT Internal Audit Plan 2014/15







Adult Social Care		135	(135)
LA Trading Company - ASC	89		
Deputyship	86		
Better Care Fund	85		
AIS Care assessment process	82		
Telecare	79		
ASC Commissioning Procurement Portal	77		
Care Bill Preparedness	74		

Surrey County Council

DRAFT Internal Audit Plan 2014/15





	Risk Score*	Audit Days 2014/15	(2013/14 Audit Days)
Business Services		<mark>230</mark>	(298)
Carbon Reduction Scheme & GHG	MAN		
Public Service Network	80		
Management of CITRIX systems	79		
UNICORN	79		
Apprenticeship Scheme	79		
Absence Management	77		
Property Investment Strategy	<mark>76</mark>		
Property Asset Management (PAMS)	76		
Managed Print Service	76		
LA Trading Company - Governance arrangements	76		
Grants to Voluntary Bodies	<mark>75</mark>		
Customers and Communities		55	(75)
SFRS Operational Assurance Process	78		
Community Learning	76		
Domestic Abuse	75		

Surrey County Council

(2013/14

Audit Days)

(75)

(230)

Risk

Score*

Audit

Days 2014/15

115

230

DRAFT Internal Audit Plan 2014/15

KEEPING FAMILIES HEALTHY

Chief Executive's Office	
Health and Wellbeing Board	80
Business Continuity Planning	78
VCFS Framework	78
Public Health	77
Emergency Management	76
Member Interests	75



Children's Schools and Families	
Schools compliance	88
School Planning/Admissions	82
Children's Safeguarding QA process	78
Corporate Parenting Board	78
Foster Care	78
Local Safeguarding Boards	75
Looked After Children - Personal Monies	75
Direct Payments (Children's)	75

Surrey County Council

(2013/14

Audit

Audit

Days

Risk

DRAFT Internal Audit Plan 2014/15



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Score* 2014/15 Days) **Environment and Infrastructure** 100 (130)**Operation Horizon** 80 Transportation Co-ordination Centre - new system 79 Streetworks Permit Scheme 79 Waste Management and Minimisation 79 Local Sustainable Transport Fund 77



Follow-up Audits including:

Social Care Debt Looked After Children – Health & Dental Checks Special Schools – In-house Residential Transport for Education 60 (50)

Surrey County Council

DRAFT Internal Audit Plan 2014/15

	Risk Score*	Audit Days 2014/15	(2013/14 Audit Days)
Client Support and Service Liaison		156	(136)
Innovation Support/Follow-up		30	(50)
Irregularity and Special Investigations including Fraud Prevention		<mark>335</mark>	(345)
NFI - Support to Other LAs			
Irregularity Contingency			
Anti Fraud and Data Interrogation			
NFI Data Matching Exercise			
Internal Management, Corporate Support and Organisational Learning		294	(294)
Audit & Governance Support			
Member support			
Audit Planning			
Audit Management			
Corporate Support Activities			
Total Audit Days		2180	(2228)